



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/916,231	07/27/2001	Jorg Worner	31833-173684

CONFIRMATION NO. 6862

## FORMALITIES LETTER



\*OC000000006524012\*

VENABLE, BAETJER, HOWARD and CIVILETTI, LLP  
P.O. Box 34385  
Washington, DC 20043-9998

Date Mailed: 09/07/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 WABRMAN1 00000034 09916231

01 FC:201 370.00 OP  
02 FC:203 65.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jörg WÖRNER

Appl. No. 09/916,231

Confirmation No. 6862

Filed: July 27, 2001

For: OPTOELECTRONIC  
APPARATUS



Art Unit: 2873

Examiner: not yet assigned

Atty. Docket No. 31833-173684

Customer No.



26694

PATENT TRADEMARK OFFICE

**Response To Notice To File Missing Parts**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**BOX MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts dated September 7, 2001, submitted herewith are the following:

- Inventor Declaration
- Copy of Notification of Missing Parts
- Assignment with Certified Cover Letter
- Priority is claimed under 35 USC 119 from Germany Patent Application No. 100 36 538.8 filed July 27, 2000 (certified copy enclosed)

**Official fees:**

Filing Fee	\$370.00
Surcharge-Late Filing of Declaration	\$65.00
Assignment Recordation Fee	\$40.00

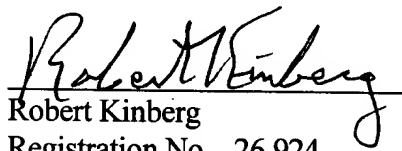
**Total fees enclosed: \$475.00**

Dr. Ruckh  
09/916,231

Should no remittance be attached, or should any greater or lesser fee be required, please charge or credit our Account No. 22-0261 and advise us accordingly.

Respectfully submitted,

Date: October 4, 2001

  
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